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PATTERSON & SHERIDAN, LLP

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SHREWSBURY, NJ 07702
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THIS MESSAGE HAS 4 PAGES INCLUDING THIS SHEET

TO: Commissioner of Patents
FAX NO.: (571) 273-8300
FROM: Kin-Wah Tong, Esq.
DATE: December 5, 2005
MATTER: U.S. Serial No.: 09/930,389 filed August 15, 2001
DOCKET NO.: SRI/4544-2
APPLICANT: Venkata Ramana Rao Gadde

Special Processing Submission

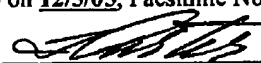
The following has been received in the U.S. Patent and Trademark Office on the date of this facsimile:

<input checked="" type="checkbox"/> Petition to Correct Inventorship	<input checked="" type="checkbox"/> Transmittal Letter (2 Copies)
<input type="checkbox"/> Disclosure Statement & PTO-1449	<input checked="" type="checkbox"/> Fee Transmittal (2 copies)
<input type="checkbox"/> Priority Document	<input checked="" type="checkbox"/> Deposit Account Transaction
<input type="checkbox"/> Amendment Under 37 C.F.R. §1.116	<input checked="" type="checkbox"/> Facsimile Transmission Certificate
<input checked="" type="checkbox"/> Assent of Assignee for Correction and/or Addition to Original Named Inventor	dated <u>December 5, 2005</u>
	<input checked="" type="checkbox"/> Combined Declaration and Power of Attorney

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being transmitted by facsimile to Commissioner for
Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 12/5/05, Facsimile No. (571) 273-8300.

Tara Carter
Name of person signing this certificate


Signature and date December 5, 2005

PTO/SB/21 (09-04)


Approved for use through 07/31/2008. OMB 0651-0031

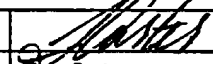
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/930,389
	Filing Date	August 15, 2001
	First Named Inventor	Venkata Ramana Rao Gadde
	Art Unit	2655
	Examiner Name	Huyen X. Vo
Total Number of Pages in This Submission	Attorney Docket Number	SRI/4544-2

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition to Correct Inventorship under 1.48(a) <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): ❖ Facsimile Transmission ❖ Assent of Assignee for Correction and/or Addition to Original Named Inventor ❖ Combined Declaration and Power of Attorney
Remarks Special Processing Submission		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm	Patterson & Sheridan, LLP		
Signature			
Printed Name	Kin-Wah Tong, Esq.		
Date	December 5, 2005	Reg. No.	39,400

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Tara Carter	Date	December 5, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/21 (09-04)


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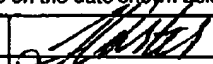
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM	Application Number	09/930,389
	Filing Date	August 15, 2001
	First Named Inventor	Venkata Ramana Rao Gadde
	Art Unit	2655
	Examiner Name	Huyen X. Vo
(to be used for all correspondence after initial filing)		
Total Number of Pages in This Submission		Attorney Docket Number SRI/4544-2

ENCLOSURES (check all that apply)		
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<div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Remarks </div> <div> Special Processing Submission </div> </div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm	Patterson & Sheridan, LLP		
Signature			
Printed Name	Kin-Wah Tong, Esq.		
Date	December 5, 2005	Reg. No.	39,400

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Signature			
Typed or printed name	Tara Carter	Date	December 5, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0851-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). <h2 style="text-align: center;">FEE TRANSMITTAL for FY 2005</h2>		Complete if Known	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/930,389
		Filing Date	August 15, 2001
		First Named Inventor	Venkata Ramana Rao Gadde
		Examiner Name	Huyen X. Vo
		Art Unit	2855
TOTAL AMOUNT OF PAYMENT (\$) 130.00		Attorney Docket No.	SRJ4544-2

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METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) :

☒ Deposit Account Deposit Account Number: 20-0782 Deposit Account Name: Patterson & Sheridan, LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s)☒ Credit any overpayments

Under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)

Small Entity Fee (\$)

Each independent claim over 3 (including Reissues)

50

25

Multiple dependent claims

200

100

Total Claims

Extra Claims

Fee (\$)

Fee Paid (\$)

Multiple Dependent Claims

Fee (\$)

Fee Paid (\$)

-20 or HP=

x

25

=

0

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims

Extra Claims

Fee (\$)

Fee Paid (\$)

-3 or HP=

0

x

100

=

0

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

Fee Paid (\$)

-100 = / 50 = (round up to a whole number) x

=

4. OTHER FEE(S)

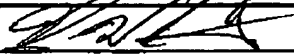
Non-English Specification, \$130 fee

Fees Paid (\$)

Other (e.g., late filing surcharge): Petition Fee (to Correct Inventorship under 1.48(a))

\$130.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	39,400	Telephone	(732) 530-8404
Name (Print/Type)	Kin-Wah Tong, Esq.	Date	December 5, 2005		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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SRI/4544-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:
Venkata Ramana Rao Gadde

Serial No.: 09/930,389

Confirmation No.: 9193

Filed: August 15, 2001

For: METHOD AND APPARATUS FOR
RECOGNIZING SPEECH IN A
NOISY ENVIRONMENT§
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§

Group Art Unit: 2655

Examiner: Huyen X. Vo

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450CERTIFICATE OF FACSIMILE
TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence and the documents referred to as attached therein are being facsimile transmitted to the U.S. Patent and Trademark Office to the fax number indicated by the Examiner, namely, fax number 571-273-8300 to the attention of the named Examiner, on the date below

December 5, 2005
Date
Tara Carter**REQUEST AND AMENDMENT TO CORRECT INVENTORSHIP**
UNDER RULE 1.48(a)

Applicant hereby respectfully petitions the Commissioner for Patents to add the following inventors to the above-identified Application:

12/06/2005 AKELECH1 00000063 200782 09930389

01 FC:1464 130.00 DA

Horacio Franco

John Butzberger

The above named inventors were inadvertently omitted in the above-identified application without deceptive intent on the part of the named inventors. Please see the attached statement signed by Horacio Franco and John Butzberger. Please also see the attached Assent of Assignee signed by Edward E. Davis on behalf of the Assignee, SRI International.

SRI/4544-2

In accordance with 37 C.F.R. 1.17(q), kindly charge the petition fee of \$130.00, and any additional fees, as appropriate, to Deposit Account No. 20-0782/SRI/4544-2. To facilitate that charge, a duplicate copy of this petition is enclosed herewith.

Respectfully submitted,



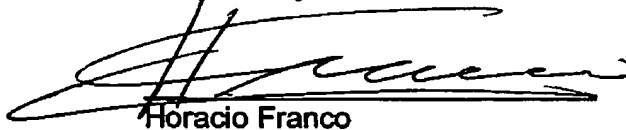
Kin-Wah Tong
Registration No. 39,400
PATTERSON & SHERIDAN, L.L.P.
595 Shrewsbury Avenue
Shrewsbury, NJ 07702
Telephone: (732) 530-9404
Facsimile: (732) 530-9808
Attorney for the Applicant

SRI/4544-2

REQUEST TO ADD AN OMITTED INVENTOR
UNDER 37 CFR 1.48(a)

I, Horacio Franco, hereby state that the above-referenced application, as filed, should have included me as a joint inventor, along with Venkata Ramana Rao Gadde and John Butzberger, of said invention, and that this inventorship error occurred without deceptive intent on my part.

Respectfully submitted,



Horacio Franco

I, John Butzberger, hereby state that the above-referenced application, as filed, should have included me as a joint inventor, along with Venkata Ramana Rao Gadde and Horacio Franco, of said invention, and that this inventorship error occurred without deceptive intent on my part.

Respectfully submitted,

John Butzberger

SRI/4544-2

REQUEST TO ADD AN OMITTED INVENTOR
UNDER 37 CFR 1.48(a)

I, Horacio Franco, hereby state that the above-referenced application, as filed, should have included me as a joint inventor, along with Venkata Ramana Rao Gadde and John Butzberger, of said invention, and that this inventorship error occurred without deceptive intent on my part.

Respectfully submitted,

Horacio Franco

I, John Butzberger, hereby state that the above-referenced application, as filed, should have included me as a joint inventor, along with Venkata Ramana Rao Gadde and Horacio Franco, of said invention, and that this inventorship error occurred without deceptive intent on my part.

Respectfully submitted,



John Butzberger

PATENT
SRI/4544-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Venkata Ramana Rao Gadde

Serial No.: 09/930,389

Confirmation No.: 9193

Filed: August 15, 2001

For: METHOD AND APPARATUS FOR
RECOGNIZING SPEECH IN A
NOISY ENVIRONMENT§
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Group Art Unit: 2655

Examiner: Huyen X. Vo

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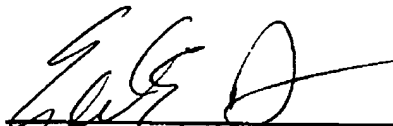
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450CERTIFICATE OF FACSIMILE
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referred to as attached therein are being facsimile transmitted
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(571) 273-8300, on the date below.12-5-2005
Date

Tara Carter

ASSENT OF ASSIGNEE FOR CORRECTION AND/OR
ADDITION TO ORIGINAL NAMED INVENTOR(S)SRI International
333 Ravenswood Avenue, Menlo Park, CA 94025

Assignment:

☒ recorded on October 9, 2001Reel 012245Frame 0705☐ recorded herewithhereby assents to the correction of inventorship from Venkata Ramana Rao Gadde
(sole inventor) to Venkata Ramana Rao Gadde, Horacio Franco and John Butzberger
(joint inventors), filed .☒ herewith☐ on
Edward E. Davis
Assistant Secretary, SRI International